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S.D.  
090204

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
AKIHIRO KUSHIDA, ET AL. ) Examiner: Doris H. To  
Appln. No.: 09/993,570 ) Group Art Unit: 2655  
Filed: November 27, 2001 ) January 29, 2004  
For: SPEECH RECOGNITION SYSTEM, )  
SPEECH RECOGNITION CLIENT, )  
THEIR CONTROL METHOD, AND )  
COMPUTER READABLE MEMORY :

RECEIVED

JAN 30 2004

Technology Center 2600

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THIRD INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, Applicants direct the Examiner's attention to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed. A European Search Report (dated December 12, 2003), from a corresponding application, cites the listed documents and is enclosed for the Examiner's convenient reference.

FORMAL MATTERS

In accordance with 37 C.F.R. § 1.97(b), since a first Official Action has not yet been issued in this case, neither a statement under 37 C.F.R. § 1.97(e) nor payment of a fee is believed to be required. However, the Commissioner is hereby authorized to charge

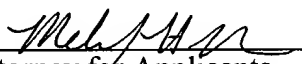
any fee which may be required in connection with this paper to Deposit Account No. 06-1205. A duplicate of this paper is enclosed.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that an initialed copy of the enclosed Form PTO-1449 be returned, indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicants  
Melody H. Wu  
Registration No. 52,376

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200  
MHW/lip

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)  Submitted: January 29, 2004			ATTY DOCKET NO. <b>00862.022442</b>		APPLICATION NO. <b>09/993,570</b>		
			APPLICANT <b>AKIHIRO KUSHIDA, ET AL.</b>				
			FILING DATE <b>November 27, 2001</b>		GROUP <b>2655</b>		
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
		<b>WO 99/26233</b>	<b>5/27/1999</b>	<b>PCT</b>			<b>In English</b>
		<b>EP 0 945 851 A2</b>	<b>9/29/1999</b>	<b>Europe</b>			<b>In English</b>
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1

MHW/llp